

General information about company

Scrip code	521070
NSE Symbol	ALOKINDS
MSEI Symbol	NOTLISTED
ISIN	INE270A01029
Name of the company	ALOK INDUSTRIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	2.79
As on the end of previous 1st quarter	100	2.78
As on the end of previous 2nd quarter	100	2.69
As on the end of previous 3rd quarter	100	2.59
As on the end of previous 4th quarter	100	2.48

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	3723845177			3723845177	75	3723845177		3723845177	75
(B)	Public	1067100	1241395224			1241395224	25	1241395224		1241395224	25
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1067102	4965240401			4965240401	100	4965240401		4965240401	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				75					3723845177			
(B)	Public				25					1241395224	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					4965240401	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	2	3723845177			3723845177	75	3723845177		3723845177	75
Sub-Total (A) (1)		2	3723845177			3723845177	75	3723845177		3723845177	75
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)		2	3723845177			3723845177	75	3723845177		3723845177	75
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	11	3986495			3986495	0.08	3986495		3986495	0.08
(c)	Alternate Investment Funds	2	875837			875837	0.02	875837		875837	0.02
(d)	Banks	1	50001			50001	0	50001		50001	0
(e)	Insurance Companies	3	15852979			15852979	0.32	15852979		15852979	0.32
(i)	NBFCs registered with	4	130153			130153	0	130153		130153	0

	RBI										
Sub-Total (B) (1)		21	20895465			20895465	0.42	20895465		20895465	0.42
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	69	114958741			114958741	2.32	114958741		114958741	2.32
(e)	Foreign Portfolio Investors Category II	5	4104873			4104873	0.08	4104873		4104873	0.08
(g)	Any Other (specify)	1	2000000			2000000	0.04	2000000		2000000	0.04
Sub-Total (B) (2)		75	121063614			121063614	2.44	121063614		121063614	2.44
(3)	Central Government / State Government(s)										
(a)	Central Government / President of India	1	10000			10000	0	10000		10000	0
Sub-Total (B) (3)		1	10000			10000	0	10000		10000	0
(4)	Non-institutions										
(c)	Key Managerial Personnel	1	40			40	0	40		40	0
(f)	Investor Education and Protection Fund (IEPF)	1	3341014			3341014	0.07	3341014		3341014	0.07
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	1054238	844903640			844903640	17.02	844903640		844903640	17.02
(h)	Resident Individuals	266	152262204			152262204	3.07	152262204		152262204	3.07

	holding nominal share capital in excess of Rs. 2 lakhs										
(i)	Non Resident Indians (NRIs)	3990	24840755			24840755	0.5	24840755		24840755	0.5
(l)	Bodies Corporate	1051	33073109			33073109	0.67	33073109		33073109	0.67
(m)	Any Other (specify)	7456	41005383			41005383	0.83	41005383		41005383	0.83
Sub-Total (B) (4)		1067003	1099426145			1099426145	22.14	1099426145		1099426145	22.14
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1067100	1241395224			1241395224	25	1241395224		1241395224	25
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1067102	4965240401			4965240401	100	4965240401		4965240401	100
Total (A+B+C)		1067102	4965240401			4965240401	100	4965240401		4965240401	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(d)				75					3723845177			
Sub-Total (A) (1)				75					3723845177			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				75					3723845177			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				0.08					3986495	0	0	0
(c)				0.02					875837	0	0	0
(d)				0					50001	0	0	0
(e)				0.32					15852979	0	0	0

(i)				0					130153	0	0	0
Sub-Total (B) (1)				0.42					20895465	0	0	0
(2)	Institutions (Foreign)											
(d)				2.32					114958741	0	0	0
(e)				0.08					4104873	0	0	0
(g)				0.04					2000000	0	0	0
Sub-Total (B) (2)				2.44					121063614	0	0	0
(3)	Central Government / State Government(s)											
(a)				0					10000	0	0	0
Sub-Total (B) (3)				0					10000	0	0	0
(4)	Non-institutions											
(c)				0					40	0	0	0
(f)				0.07					3341014	0	0	0
(g)				17.02					844903640	0	0	0
(h)				3.07					152262204	0	0	0
(i)				0.5					24840755	0	0	0
(l)				0.67					33073109	0	0	0
(m)				0.83					41005383	0	0	0
Sub-Total (B) (4)				22.14					1099426145	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)				25					1241395224	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											

Total (A+B+C2)				100					4965240401
Total (A+B+C)				100					4965240401
Disclosure of notes on shareholding pattern for company remarks explanatory								Textual Information(1)	

Text Block

Textual Information()

Note 1:

In accordance with the Resolution Plan approved by the National Company Law Tribunal, Ahmedabad Bench (Approved Resolution Plan), Reliance Industries Limited (RIL) along with JM Financial Asset Reconstruction Company Limited acting in its capacity as a Trustee of JMFARC- March 2018 Trust- (JMFARC) have acquired joint control of the Company with effect from September 14, 2020.

As a part of the Approved Resolution Plan, the Company had on February 28, 2020, allotted on preferential basis to RIL 83,33,33,333 equity shares of Re.1/- each at a premium of Rs.2/- per equity share for cash for a total consideration of Rs.250 crore and 250,00,00,000 - 9% Optionally Convertible Preference Shares (OCPS) of Re.1 each for cash at par, for a total consideration of Rs.250 crore.

As per the terms and conditions of the OCPS, RIL was entitled to convert all or part of the OCPS into equity shares of the Company at any time on or before the expiry of 18 months from the date of their allotment, i.e. August 28, 2021. Since the option to convert OCPS into equity shares of the Company has not been exercised, the Company will now, as per the terms and conditions of the OCPS, redeem the same on the last day of the 10th anniversary of the date of allotment, such that the Company on redemption pays an amount equal at least to the outstanding OCPS Subscription Amount to RIL.

Under serial no.2 of the Declaration tab i.e. Whether the Listed Entity has issued any Convertible Securities?, No is selected because the Company as on September 30, 2024 does not have any convertible securities.

Further, in accordance with the Approved Resolution Plan, the Company on September 10, 2020, further allotted on preferential basis:

- i. 115,32,00,000 equity shares of the face value of Re.1/- (Rupee One only) each, fully paid up, to RIL, pursuant to conversion of debt.
- ii. 160,14,00,000 equity shares of the face value of Re.1/- (Rupee One only) each, fully paid up, to JM Financial Asset Reconstruction Company Limited acting in its capacity as a Trustee of JMFARC- March 2018 Trust- (JMFARC), pursuant to conversion of debt.

Note 2:

JM Financial Asset Reconstruction Company Limited, acting in its capacity as Trustee of JMFARC March 2018 Trust (JMFARC) is the persons acting in concert (PAC) with Reliance Industries Limited but considering that there is no provision for disclosing PAC with Promoter and Promoter Group in the prescribed format, they have been shown as part of the promoter group with an explanatory note.

The details of shareholding of JMFARC are given below:

JM Financial Asset Reconstruction Company Limited (acting in its capacity as Trustee of JMFARC March 2018 Trust) holds 1,73,73,11,844 equity shares, constituting 34.99% of the equity capital.

Note 3:

Voting rights are frozen on 30,540 equity shares held in the Unclaimed Suspense Account and 33,41,014 equity shares held by Investor Education and Protection Fund (IEPF).

Any Other (specify)

Serial No.	1	2	
Category	Bodies Corporate	Person Acting in Concert	Click here to go back
Name of the Shareholders (I)	Reliance Industries Limited	JM Financial Asset Reconstruction Company Limited	
PAN (II)	AAACR5055K	AABCJ9062F	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	1986533333	1737311844	3723845177
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1986533333	1737311844	3723845177
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.01	34.99	75
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1986533333	1737311844	3723845177
Class eg:y			
Total	1986533333	1737311844	3723845177

Total as a % of Total Voting rights	40.01	34.99	75
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	40.01	34.99	75
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in	1986533333	1737311844	3723845177

dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

Any Other (specify)

Serial No.	1	2	3	4	5	6	7
Category	Trusts	LLP	HUF	Unclaimed or Suspense or Escrow Account	Clearing Members	Market Maker	Trusts
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	9	83	7342	1	19	1	1
No. of fully paid up equity shares held (IV)	195745	2757452	37986173	30540	34448	1000	25
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	195745	2757452	37986173	30540	34448	1000	25
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.06	0.77	0	0	0	0
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	195745	2757452	37986173	30540	34448	1000	25
Class eg:y							

Total	195745	2757452	37986173	30540	34448	1000	25
Total as a % of Total Voting rights	0	0.06	0.77	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.06	0.77	0	0	0	0
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	195745	2757452	37986173	30540	34448	1000	25
Reason for not providing PAN							

Reason for not providing PAN							
Sub-categorization of shares							
Sub-category (i)	0	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0	0

Any Other (specify)

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	7456
No. of fully paid up equity shares held (IV)	41005383
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41005383
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.83
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	41005383
Class eg:y	

Total	41005383
Total as a % of Total Voting rights	0.83
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.83
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	41005383
Reason for not providing PAN	

Reason for not providing PAN	
Sub-categorization of shares	
Shareholding (No. of shares) under	
Sub-category (i)	0
Sub-category (ii)	0
Sub-category (iii)	0

