

# ALOK INDUSTRIES LIMITED

Peninsula Business Park, Tower B, 2nd & 3rd Floor, Ganpatrao Kadam Marg, Lower Parel,  
Mumbai - 400 013. Tel.: 91 22 6178 7000 Fax : 91 22 6178 7118



21<sup>st</sup> October, 2016

National Stock Exchange of India Ltd,  
Exchange Plaza, 5th Floor,  
Plot no. C/1, G Block,  
Bandra-Kurla Complex,  
Bandra (East), Mumbai-400 051.  
Fax No.: 2659 8237 / 2659 8238

**Subject: - Shareholding Pattern for the quarter ended 30<sup>th</sup> September, 2016 as per SEBI  
(Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Scrip Code: - NSE- ALOKTEXT**


Dear Sir,

Pursuant to Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith Shareholding Pattern for the quarter ended 30<sup>th</sup> September, 2016 for your information and record.

Kindly take record of the same.

Thanking you,

Yours truly,  
**FOR ALOK INDUSTRIES LIMITED**

  
**K. H. GOPAL  
EXECUTIVE DIRECTOR &  
SECRETARY**

Encl: a/a

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

<b>GENERAL INFORMATION ABOUT COMPANY</b>	
Name of Listed Entity	Alok Industries Limited
Scrip Code	521070
Class of Security	Equity
Shareholding Pattern Filed under	Reg.31 (1)(b)
Type of Report	Quarterly
Quarter ended	30th September 2016
<b>PARTICULARS</b>	
Whether the Listed Entity has issued any partly paid up shares?	Yes/No
Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
Whether the Listed Entity has any shares against which depository receipts are issued?	No
Whether the Listed Entity has any shares in locked-in?	No
Whether any shares held by promoters are pledge or otherwise encumbered?	Yes

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (X)	Shareholding, as a % assuming full conversion of convertible securities (X)+Y+Z As a % of (A+B+C2)	Number of Locked In No. (a)	As a % of total Shares held(b)	Number of Shares pledged or otherwise As a % of total Shares held(b)	Number of equity shares held in dematerialised form (XIV)
								Class eg: X	Class eg: Y	Total						
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)	
(A)	Promoter & Promoter Group	12	451658966	0	0	451658966	32.7926	0	0	0	32.7926	0	0.0000	430992301	31.29	451658966
(B)	Public	222697	925658929	0	0	925658929	67.2074	0	0	0	67.2074	0	0.0000	NA	NA	923067065
(C)	Non Promoter - Non Public					0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
	Total	222699	1377317895	0	0	1377317895	100.0000	0	0	0	100.0000	0	0.0000	430992301	31.29	3374726631

**Alok Industries Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	PAN	Nos. of shareholder \$	No. of fully paid up equity	Partly paid-up equity shares held	No. of shares underlying	Total nos. shares held (VI) = (IV)+(V)+(VI)	Shareholdin g % calculated (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		No. of Shares Underlying (X)	Shareholding, as a % assuming full (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in No. (9) As a % of total Shares	Number of Shares pledged or As a % of total Shares held(b) (XIII)	Number of equity shares held in dematerialised (XIV)
								No of Voting Rights Class eg: X	Total of (A+B+C) Class eg: Y Total					
1. Indian														
(a) Individuals / Hindu Undivided Family														
Astok B Jiwrajka	AACPJ3610K	1	34966473	0	0	34966473	2.5387	34966473	7.9901	0	0.0000	0	100650487	91.4598
Dilip Bhagirathmal Jiwrajka	AAGP38756J	1	34405471	0	0	34405471	2.4980	34405471	2.4980	0	0.0000	0	26179471	76.0910
Surendra Bhagirathmal Jiwrajka	AACPJ4316L	1	35839871	0	0	35839871	2.6021	35839871	2.6021	0	0.0000	0	35839871	100.0000
Chandrakala A. Jiwrajka	AACPJ2644P	1	859237	0	0	859237	0.0624	859237	0.0624	0	0.0000	0	859237	99.7019
Pramila Dilip Jiwrajka	AAEPJ822D	1	2061605	0	0	2061605	0.1500	2061605	0.1497	0	0.0000	0	2061605	99.9006
Geeta S. Jiwrajka	AACPJ2568G	1	748441	0	0	748441	0.0543	748441	0.0543	0	0.0000	0	748441	100.0000
Vinod Bhagirathmal Jiwrajka	AJBPJ2877J	1	1163633	0	0	1163633	0.0845	1163633	0.0845	0	0.0000	0	0	0.0000
Alok A. Jiwrajka	ADBPJ3866B	1	4166	0	0	4166	0.0003	4166	0.0003	0	0.0000	0	0	0.0000
Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
(b) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
(c) Any Other (Specify)		4	341610069	0	0	341610069	24.8026	341610069	24.8026	0	0.0000	0	330341814	96.7014
Bodies Corporate		2	326934687	0	0	326934687	23.7371	326934687	23.7371	0	0.0000	0	315666432	96.5534
Alok Knit Exports Private Limited	AACCA8937K	1	326295367	0	0	326295367	23.6911	326295367	0.0000	0	0.0000	0	315027112	96.5466
Ashok Realtors Private Limited	AAACA8831E	1	639320	0	0	639320	0.0465	639320	0.0000	0	0.0000	0	639320	100.0000
Trusts		2	14675382	0	0	14675382	1.0655	14675382	1.0655	0	0.0000	0	14675382	100.0000
Surendra B. Jiwrajka	AADTA4648F	1	12775382	0	0	12775382	0.9276	12775382	0.9276	0	0.0000	0	12775382	100.0000
Dilip B. Jiwrajka	AADTA4644H	1	1900000	0	0	1900000	0.1379	1900000	0.1379	0	0.0000	0	1900000	100.0000
Sub Total (A)(1)		12	451658966	0	0	451658966	32.7926	451658966	32.7926	0	0.0000	0	430992301	95.42
2. Foreign														
Individuals (Non-Resident Individuals / Foreign)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
(a) Individuals		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
(b) Government		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
(c) Institutions		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
(d) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
(e) Any Other (Specify)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0	0.0000
Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		12	451658966	0	0	451658966	32.7926	451658966	32.7926	0.0000	0.0000	0	430992301	95.4243

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

\* Trustee on behalf of Alok Benefit Trust  
 \*\* Out of 326295367 shares held by AKEL, the beneficial owners of 844500 shares are Mr. Santosh Jiwrajka (28500 shares) and Mrs. Kiran Jiwrajka (28500 shares) and Belfsev Fashion Establishments (787500 shares)

**Atok Industries Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholder s.	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of (IX)			No. of Shares Underlying (X)	Shareholding as a % assuming full (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked In (XIII)		Number of equity shares held in dematerialised form (XIV)
								Class eq: X	Class eq: Y	Total			No. (a)	As a % of total Shares	
<b>1 Institutions</b>															
(a) Mutual Fund		2	11377	0	0	11377	0.0008	11377	0.0008	0	0.0000	0	0.0000	NA	11177
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(e) Foreign Portfolio Investor		24	63928359	0	0	63928359	4.6415	63928359	4.6415	0	0.0000	0	0.0000	NA	0
(f) India Opportunities Growth Fund Ltd - Pinewood Strategy	AACC15037L	15	28291298	0	0	28291298	2.0541	28291298	2.0541	0	0.0000	0	0.0000	NA	61928359
(f) Financial Institutions / Banks			91935019	0	0	91935019	6.6749	91935019	6.6749	0	0.0000	0	0.0000	NA	28291298
(f) Life Insurance Corporation Of India	AAACL0582H		35164136	0	0	35164136	2.5531	35164136	2.5531	0	0.0000	0	0.0000	NA	919294919
(f) IFCI Ltd	AAACT0688G		18760723	0	0	18760723	1.3621	18760723	1.3621	0	0.0000	0	0.0000	NA	35164136
(f) Icbi Bank Ltd.	AAABC18842G		17888161	0	0	17888161	1.2988	17888161	1.2988	0	0.0000	0	0.0000	NA	18760723
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	17888161
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(i) Any Other (Specify)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(i) Foreign Institutional Investors		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(i) Sub Total (B)(1)		41	155874755	0	0	155874755	11.3173	155874755	11.3173	0	0.0000	0	0.0000	NA	0
(i) Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	155874755
(i) Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
<b>3 Non-Institutions</b>															
(a) Individuals															
(a) i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		210083	251787263	0	0	251787263	18.2810	251787263	18.2810	0	0.0000	0	0.0000	NA	0
(a) ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		3846	302635836	0	0	302635836	21.9728	302635836	21.9728	0	0.0000	0	0.0000	NA	251787263
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(c) Employees Trusts		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	0
(c) Any Other (Specify)		8827	215361075	0	0	215361075	15.6363	215361075	15.6363	0	0.0000	0	0.0000	NA	0
(c) Trusts		6	10950	0	0	10950	0.0008	10950	0.0008	0	0.0000	0	0.0000	NA	0
(c) Hindu Undivided Family		5018	25790709	0	0	25790709	1.8725	25790709	1.8725	0	0.0000	0	0.0000	NA	10950
(c) Non Resident Indians (Non Repat)		392	3604594	0	0	3604594	0.2617	3604594	0.2617	0	0.0000	0	0.0000	NA	25790709
(c) Non Resident Indians (Repat)		1188	16946778	0	0	16946778	1.2304	16946778	1.2304	0	0.0000	0	0.0000	NA	3604594
(c) Clearing Member		370	14284009	0	0	14284009	1.0371	14284009	1.0371	0	0.0000	0	0.0000	NA	16946778
(c) Market Maker		53	2214288	0	0	2214288	0.1608	2214288	0.1608	0	0.0000	0	0.0000	NA	14284009
(c) Bodies Corporate		1490	128243868	0	0	128243868	9.3111	128243868	9.3111	0	0.0000	0	0.0000	NA	2214288
(c) Ecl Finance Limited	AABCE4916D		22642893	0	0	22642893	1.6440	22642893	1.6440	0	0.0000	0	0.0000	NA	128243868
(c) Sub Total (B)(3)		222456	769784174	0	0	769784174	55.8901	769784174	55.8901	0	0.0000	0	0.0000	NA	22642893
(c) Total Public Shareholding (B)*		222497	925658929	0	0	925658929	67.2074	925658929	67.2074	0	0.0000	0	0.0000	NA	769784174

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %), Nil

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	0
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Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons/institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

**Alok Industries Limited**  
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholder s	No. of fully paid up equity	Partly paid-up equity shares held	No. of shares underlying	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdin g% calculated (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of		No. of Shares Underlying	Shareholding, as a % assuming full conversion of (X) = (VII)+(X) As a % of (A+B+C2)	Number of Locked In		As a % of total Shares	Number of equity shares held in dematerialised
								Class eg: X	Total			No. (a)	As a % of total Shares		
1. Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)		
2. Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)															

**Note:**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available